**Shipments and Services Involving**

**Highly Sanctioned Countries and High-Risk Countries**

**Approval Application Form**

The Commit Rule on Sanctions and Export Controls requires pre-approval[[1]](#footnote-1) by Maersk Compliance ***before*** any shipment or service ***involving*** a Highly Sanctioned Country (HSC) [[2]](#footnote-2)or a High-Risk Country (HRC)[[3]](#footnote-3) is provided.

***This requirement applies both*** ***where any Maersk service is provided directly rom or to an HSC or HRC or where any Maersk service occurs entirely outside an HSC or HRC, but where the ultimate origin or destination of the items is an HSC or HRC***.

Different requirements apply to each HSC and HRC. Refer to the ***Instructions on Approval Application Form for HSCs and HRCs*** (the “Instructions”) for details.

Complete this application and submit it to [sanctions@maersk.com](mailto:sanctions@maersk.com) when required by the Instructions. Maersk Compliance will review this application and approve or reject it based on sanctions and/or export control requirements or risk tolerance. Maersk Compliance may also request additional information to assess this approval application.

|  |  |
| --- | --- |
| **Tick all relevant brand(s) for this application. If multiple services are provided, check all that apply.** | |
| **Maersk Ocean (including Sealand)** | **Logistics & Services (customs house brokerage, intermodal, air freight, warehousing, trucking, inland services and rail)** |
| **Hamburg Süd** | **Any other service (SPECIFY: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_)** |

***Please complete each field below entirely.***

|  |  |
| --- | --- |
| **Shipment or service information.** | |
| Shipment, booking or reference number |  |
| Estimated date of ocean departure |  |
| Port of loading / place of receipt |  |
| Port of discharge / place of delivery |  |
| **Parties involved.** | |
| Customer (full name and address) |  |
| Shipper (full name and address) |  |
| Consignee (full name and address) |  |
| The actual |  |
| Notify party (full name and address) |  |
| Paying party (full name and address) |  |
| **Information about the item(s) for the shipment or service.** | |
| Complete, ***detailed*** description of **each item** being shipped |  |
| HS codes for each item (at least six digits) |  |
| End use of items or commodities |  |
| Are any of the items **dual use**? (Ask the customer.)  (If yes, for exports from the US, specify the US ECCN number. For exports from the EU, provide the EU dual use number. For exports from any other country, provide that country’s dual use number.) |  |
| Are any of the items **arms, military or related items**? (Ask the customer.)  (If yes, for exports from the US, specify the ITAR Munitions List Number. For exports from the EU, provide the EU Common Military List number. For exports from any other country, provide that country’s arms control identification number.) |  |
| Are **dual use** or **arms control** export and/or import licenses required?  (If yes, specify what license is required, whether the customer has obtained the license, and – if the customer has obtained the license – provide the license with this application.) [[4]](#footnote-4) |  |
| **If you checked either “Logistics & Services” or “Any other service”, also provide the following information.** | |
| Identify all shipping line/air carrier/trucking companies to be used |  |
| Vessel name (including IMO number) |  |

***US DOLLARS AND BANKS RESTRICTION: For shipments or services that involve HSCs we may NOT accept customer payment in US dollars or use US banks or pay vendors (or customers) in US dollars or use US banks. Customers and vendors must follow the specific payment instructions for such shipments. This is not a requirement for HRC shipments or services.***

1. This pre-approval does not replace or overlap other authorizations that may be required. [↑](#footnote-ref-1)
2. The highly sanctioned countries are Cuba, Iran, Syria, Sudan, North Korea and the Crimea region. [↑](#footnote-ref-2)
3. The high-risk countries are Russia, Venezuela and Belarus. [↑](#footnote-ref-3)
4. We may request that you obtain export and/or import licenses from the customer if not provided with this approval application. Also, for some shipments Maersk as the carrier is required to obtain a license from the Danish authorities to transport military or military related items to arms embargoed countries. [↑](#footnote-ref-4)